

# Orient Refractories Limited

## Format of holding of specified securities

1. Name of Listed Entity: **ORIENT REFRACTORIES LIMITED**
2. Scrip Code/Name of Scrip/Class of Security  
**BSE: 534076/Equity**  
**NSE: ORIENTREF/Equity**
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
  - a. If under 31(1)(b) then indicate the report for Quarter ending **31-Dec-19**
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment
4. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1.	Whether the Listed Entity has issued any partly paid up shares?		No
2.	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3.	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4.	Whether the Listed Entity has any shares in locked-in?		No
5.	Whether any shares held by promoters are pledge or otherwise encumbered?		No

*\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.*

5. The tabular format for disclosure of holding of specified securities is as follows:-

**Table I - Summary Statement holding of specified securities**

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class:Equity	Class:y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+ (VI)	(VIII)	(IX)				(X)	(XI)= (VII)+(X)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group		79,877,771			79,877,771	66.49	79,877,771		79,877,771	66.49	66.49						79,877,771
(B)	Public	15,469	40,261,429			40,261,429	33.51	40,261,429		40,261,429	33.51	33.51						38,938,380
(C)	Non Promoter- Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	<b>Total</b>	<b>15,470</b>	<b>120,139,200</b>			<b>120,139,200</b>	<b>100.00</b>	<b>120,139,200</b>		<b>120,139,200</b>	<b>100.00</b>	<b>100.00</b>						<b>118,816,151</b>

# ORIENT REFRACTORIES LIMITED

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

	Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) as a % of A+B+C2	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)
									Class:Equity	Class Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)=(VII)+(X)	(XII)		(XIII)		(XIV)	
1	Indian																		
(a)	Individual/Hindu Undivided Family		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b)	Central Government/State Government(s)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Financial Institutions/Banks		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Any Other (Specity)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub Total (A 1)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2	Foreign																		
(a)	Individual/Non Resident Individual/Foreing Individual		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b)	Government		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Institutions		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Foreign Portfolio Investor		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Any Other (Specity)		1	79,877,771	-	-	79,877,771	66.49	79,877,771	-	79,877,771	66.49	-	66.49	-	-	-	-	79,877,771
	DUTCH US HOLDING BV	AAECD4446Q		79,877,771	-	-	79,877,771	66.49	79,877,771	-	79,877,771	66.49	-	66.49	-	-	-	-	79,877,771
	Sub Total (A 2)		1	79,877,771	-	-	79,877,771	66.49	79,877,771	-	79,877,771	66.49	-	66.49	-	-	-	-	79,877,771
	Total Shareholding of Promoter and Promoter Group (A)= (A 1)+(A 2)		1	79,877,771	-	-	79,877,771	66.49	79,877,771	-	79,877,771	66.49	-	66.49	-	-	-	-	79,877,771

**Table III - Statement showing shareholding pattern of the Public shareholder**

	Category & Name of the Shareholders	PAN	Nos. of share holder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class:Equity	Class Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)=(VII)+(X)	(XII)		(XIII)		(XIV)	
1	Institutions																		
	(a) Mutual Fund		9	12,659,199	-	-	12,659,199	10.54	12,659,199	-	12,659,199	10.54	10.54	-	-	-	-	12,659,199	
	L&T MUTUAL FUND TRUSTEE LIMITED -L&T EMERGING OPPORTUNITIES FUND - SERIES II	AAATC460E		5,889,550	-	-	5,889,550	4.90	5,889,550	-	5,889,550	4.90	4.90	-	-	-	-	5,889,550	
	HDFC SMALL CAP FUND	AAATH1809A		2,987,386	-	-	2,987,386	2.49	2,987,386	-	2,987,386	2.49	2.49	-	-	-	-	2,987,386	
	ADITYA BIRLA SUN LIFE TRUSTEE PRIVATE LIMITED A/C ADITYA BIRLA SUN LIFE BALANCED ADVANTAGE FUND	AAATB0102C		2,059,946	-	-	2,059,946	1.71	2,059,946	-	2,059,946	1.71	1.71	-	-	-	-	2,059,946	
	AXIS MUTUAL FUND TRUSTEE LIMITED A/C AXIS MUTUAL FUND A/C AXIS SMALL CAP FUND	AACTA5925A		1,227,784	-	-	1,227,784	1.02	1,227,784	-	1,227,784	1.02	1.02	-	-	-	-	1,227,784	
	(b) Venture Capital Funds		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	(c) Alternate Investment Funds		2	348,267	-	-	348,267	0.29	348,267	-	348,267	0.29	0.29	-	-	-	-	348,267	
	(d) Foreign Venture Capital Investor		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	(e) Foreign Portfolio Investors		16	5,459,797	-	-	5,459,797	4.54	5,459,797	-	5,459,797	4.54	4.54	-	-	-	-	5,459,797	
	PINEBRIDGE INVESTMENTS GF MAURITIUS LIMITED	AAHCA730ZF		3,301,512	-	-	3,301,512	2.75	3,301,512	-	3,301,512	2.75	2.75	-	-	-	-	3,301,512	
	(f) Financial Institutions/Banks		5	52,540	-	-	52,540	0.04	52,540	-	52,540	0.04	0.04	-	-	-	-	47,540	
	(g) Insurance Companies		1	706,000	-	-	706,000	0.59	706,000	-	706,000	0.59	0.59	-	-	-	-	706,000	
	(h) Provident Fund/Pensions Funds		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	(i) Any Other Specify		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Sub Total (B)(1)		33	19,225,803	-	-	19,225,803	16.00	19,225,803	-	19,225,803	16.00	16.00	-	-	-	-	19,220,803	
2	Central Government/State Government/President of India		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Sub Total (B)(2)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
3	Non-Institutions																		
	(a) 1. Individual Shareholders holding Nominal Share Capital Up to 2 Lacs		14,296	14,878,115	-	-	14,878,115	12.38	14,878,115	-	14,878,115	12.38	12.38	-	-	-	-	13,576,880	
	2. Individual Shareholders holding Nominal Share Capital Above 2 Lacs		1	242,425	-	-	242,425	0.20	242,425	-	242,425	0.20	0.20	-	-	-	-	242,425	
	(b) NBFCs Registered with RBI		1	30,000	-	-	30,000	0.02	30,000	-	30,000	0.02	0.02	-	-	-	-	30,000	
	(c) Employee Trusts		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	(d) Overseas Depositories (Holding DRs) (Balancing Figure)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	(e) Any other (Specify)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	(e1) Bodies Corporate		222	1,887,419	-	-	1,887,419	1.57	1,887,419	-	1,887,419	1.57	1.57	-	-	-	-	1,871,179	
	(e2) Non Resident Indians		484	525,982	-	-	525,982	0.44	525,982	-	525,982	0.44	0.44	-	-	-	-	525,408	
	(e3) Resident Indian Huf		380	448,503	-	-	448,503	0.37	448,503	-	448,503	0.37	0.37	-	-	-	-	448,503	
	(e4) Trusts		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	(e5) Clearing Members/House		50	129,467	-	-	129,467	0.11	129,467	-	129,467	0.11	0.11	-	-	-	-	129,467	
	(e6) Orient Refractories Limited-Unclaimed Shares		1	1,000	-	-	1,000	-	1,000	-	1,000	-	-	-	-	-	-	1,000	
	(e7) Others		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	(e8) IEPF		1	2,892,715	-	-	2,892,715	2.41	2,892,715	-	2,892,715	2.41	2.41	-	-	-	-	2,892,715	
	INVESTOR EDUCATION AND PROTECTION FUND AUTHORITY MINISTRY OF CORPORATE AFFAIRS	EXEMPTCATG		2,892,715	-	-	2,892,715	2.41	2,892,715	-	2,892,715	2.41	2.41	-	-	-	-	2,892,715	
	Sub Total (B)(3)		15,436	21,035,626	-	-	21,035,626	17.51	21,035,626	-	21,035,626	17.51	17.51	-	-	-	-	19,717,577	
	Total Public Shareholding (B)= (B)(1)+(B)(2) + B (3)		15,469	40,261,429	-	-	40,261,429	33.51	40,261,429	-	40,261,429	33.51	33.51	-	-	-	-	38,938,380	

