# **Orient Refractories Limited**

## Format of holding of specified securities

1. Name of Listed Entity: ORIENT REFRACTORIES LIMITED

2. Scrip Code/Name of Scrip/Class of Security

**BSE:** 534076/Equity

**NSE:** ORIENTREF/Equity

3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)

a. If under 31(1)(b) then indicate the report for Quarter ending

31-Dec-19

b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1.	Whether the Listed Entity has issued any partly paid up shares?		No
2.	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3.	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4.	Whether the Listed Entity has any shares in locked-in?		No
5.	Whether any shares held by promoters are pledge or otherwise encumbered?		No

<sup>\*</sup> If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

convertible Partly paid shares Total nos. shares of shares No of Voting Rights Outstanding Nos. of shareh No. of fully paid up securities ( as a Category of shareholder up equity underlying held (calculated as convertible Category equity shares held percentage of olders per SCRR, 1957) securities shares Depository Total as a diluted share % of total No. (a) No. (a) Receipts As a % of (including Classeg: canital)

Shareholding as

a % of total no.

No. of

Non Promoter- Non Public Shares underlying DRs

Total

Shares held by Employee Trusts

15,470

120,139,200

(C1) (C2) No. of

							(A+B+C2)	Class:Equity	У	iotai	70 01 (A151C)	Warrants)	capital	held (	(b)	l
													As a % of (A+B+C2)			
(1)	(11)	(111)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+ (VI)	(VIII)			(IX)		(x)	(XI)= (VII)+(X)	(XII)	(XIII)	(XIV)
(A)	Promoter & Promoter Group		79,877,771			79,877,771	66.49	79,877,771	-	79,877,771	66.49	-	66.49		1 .	79,877,771

Table I - Summary Statement holding of specified securities

Number of Voting Rights held in each class of securities

120,139,200

100.00

Shareholding, as a

% assuming full

conversion of

100.00

No. of Shares

Underlying

Number of Locked in

shares

Number of Shares

pledged or otherwise

encumbered

Number of

equity shares

held in

dematerialized

118,816,151

													AS a % OI (A+D+C2)					L						
(1)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+ (VI)	(VIII)	(IX)				(IX)		(IX)		(IX)		(x)	(XI)= (VII)+(X)	(XI	1)	(	XIII)	
(A)	Promoter & Promoter Group	1	. 79,877,771	•	-	79,877,771	66.49	79,877,771		79,877,771	66.49		- 66.49				-	Ī						
(B)	Public	15,469	40,261,429	-	-	40,261,429	33.51	40,261,429	-	40,261,429	33.51		- 33.51			,	-	Ī						

120,139,200

													715 4 70 61 (7112162)					
(1)	(11)	(111)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+ (VI)	(VIII)			(IX)		(x)	(XI)= (VII)+(X)	(x	III)		(XIII)	()
(A)	Promoter & Promoter Group	:	79,877,771	Į.		- 79,877,771	66.49	79,877,771	1	- 79,877,771	66.49		66.49		-	-		7
(B)	Public	15,469	40,261,429			40,261,429	33.51	40,261,429	9	- 40,261,429	33.51		33.51		_	-		3

100.00

120,139,200

(1)	(II)	(111)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+ (VI)	(VIII)	(IX)					(IX) (X) (XI)= (VII)+(X) (XII)		(X) (XI)= (VII)+(X) (XII)			(XIII)	(XIV)
(A)	Promoter & Promoter Group	1	. 79,877,771	-		79,877,771	66.49	79,877,771		79,877,771	66.49	-	66.49	-	-		79,877,771		
(B)	Public	15,469	40,261,429	-		40,261,429	33.51	40,261,429	-	40,261,429	33.51	-	33.51	-	-	-	38,938,380		

### **ORIENT REFRACTORIES LIMITED**

					Table II - S	tatement	showing sh	areholding pat	tern of the F	Promoter a	nd Pro	omoter Gi	oup							
		Category & Name of the Shareholders	PAN	No. of	No. of fully paid up equity shares	Partly paid-up	Nos. of shares underlying	Total nos. shares held	Shareholding % calculated as per		Voting Ri	ghts held in ead		No. of Shares Underlying Outstanding convertible	Shareholding , as a % assuming full conversion of convertible securities (as a		of Locked in ares	pled oth	r of Shares dged or erwise mbered	Number of equity shares held in
				shareholder	held	held	Depository Receipts		As a % of (A+B+C2)	No of	F Voting F	Rights	Total as a % of Total Voting	securities (including Warrants)	percentage of diluted share capital) as a	No. (a)	As a % of total Shares	No. (a)	As a % of total shares	dematerialized form
										Class:Equity	Class Y	Total	rights		% of A+B+C2		held (b)		held (b)	
		(1)	(11)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)		•	(IX)		(x)	(XI)= (VII)+(X)	(:	KII)	(	XIII)	(XIV)
1		Indian																		
	(a)	Individual/Hindu Undivided Family		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	- 1	-
	(b)	Central Government/State Government(s)			-	-	-	-	-	-	-	-	-	-			-	-	-	-
	(c)	Financial Institutions/Banks		-	-	-	-	-	-	-	-		-	-	-		-	-	-	-
	(d)	Any Other (Specity)		-	-	-	-	-	-	-	-		-	-	-		-	-	-	-
		Sub Total (A)(1)		-	-	-	-	-		-	-		-	-		•	-	-	-	-
2		Foreign		-	-	-	-	-	-	-	-		-	1	-	•	-	-	-	-
	(a)	Individual/Non Resident Individual/Foreing Individual		-	-	-	-	-	-	-	-	-	-	-	-		-	-	- 1	-
	(b)	Government		-	-	-	-	-	-	-	-		-	-	-	•	-	-	-	-
	(c)	Institutions		-	-	-	-	-	-	-	-		-	1	-	•	-	-	-	-
	(d)	Foreign Portfolio Investor		-	-	-	-	-	-		-		-	-	-		-	-	-	-
	(e)	Any Other (Specity)		1	79,877,771	-	-	79,877,771	66.49	79,877,771	-	79,877,771	66.49	-	66.49		-	-	- 1	79,877,771
		DUTCH US HOLDING BV	AAECD4446Q		79,877,771	-	-	79,877,771	66.49	79,877,771	-	79,877,771	66.49	-	66.49	-	-	-	- 1	79,877,771
		Sub Total (A)(2)		1	79,877,771	-	-	79,877,771	66.49	79,877,771	-	79,877,771	66.49	-	66.49	-	-	-	-	79,877,771
		Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		1	79,877,771	-	-	79,877,771	66.49	79,877,771	-	79,877,771	66.49	-	66.49		-	-	-	79,877,771

### **ORIENT REFRACTORIES LIMITED**

					1	Table III - :	Statemen	t showing sha	reholding patter	n of the Pub	lic shar	eholder								
							Nos. of shares			Number of Vo	ting Rights h	eld in each class of sec	curities	No. of Shares Underlying	Total shareholding, as a % assuming full conversion of convertible securities (as a		r of Locked in shares	Number of Sh otherwise	ares pledged or encumbered	Number of equity shares held in dematerializ ed form
		Category & Name of the Shareholders	PAN	Nos. of shareh older	No. of fully paid up equity shares held	Partly paid-up equity shares held	underlying Depository	Total nos. shares held	Sharehol ding % calculate d as per SCRR, 1957 As a % of (A+B+C2)	No	of Voting Rig	ghts	Total as a %	Outstanding convertible securities	percentage of diluted share capital)	No. (a)	As a % of total	No. (Not applicable)	As a % of total shares	
							Receipts		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	Class:Equity	Class Y	Total	of Total Voting rights	(including Warrants)			Shar es held (b)	(a)	held (Not applic able) (b)	
		(1)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)		(	IX)		(x)	(XI)= (VII)+(X)		(XII)	(1	an)	(XIV)
1		Institutions																		
	(a)	Mutual Fund		9	12,659,199	-	-	12,659,199	10.54	12,659,199	-	12,659,199	10.54	-	10.54		-	-	-	12,659,199
		L&T MUTUAL FUND TRUSTEE LIMITED -L&T EMERGING OPPORTUNITIES FUND - SERIES II	AAATC4460E		5,889,550	-	-	5,889,550	4.90	5,889,550	-	5,889,550	4.90	-	4.90		-	-		5,889,550
		HDFC SMALL CAP FUND	AAATH1809A		2,987,386	=	-	2,987,386	2.49	2,987,386	-	2,987,386	2.49	-	2.49			-	·	2,987,386
		ADITYA BIRLA SUN LIFE TRUSTEE PRIVATE LIMITED A/C ADITYA BIRLA SUN LIFE BALANCED ADVANTAGE FUND	AAATB0102C		2,059,946		=	2,059,946	1.71	2,059,946	-	2,059,946	1.71	-	1.71		-	-	-	2,059,946
		AXIS MUTUAL FUND TRUSTEE LIMITED A/C AXIS MUTUAL FUND A/C AXIS SMALL CAP FUND	AACTA5925A		1,227,784	-	-	1,227,784	1.02	1,227,784	-	1,227,784	1.02	-	1.02		-	-	-	1,227,784
	(b)	Venture Capital Funds		-	ē	=	-	-	E	9	-	ē	-	-	-			-	-	-
	(c)	Alternate Investment Funds		2	348,267	-	-	348,267	0.29	348,267	-	348,267	0.29	=	0.29		-	•	•	348,267
	(d)	Foreign Venture Capital Investor		-	-	-	-	-	-	=	-	-	-	-	-			-	-	-
	(e)	Foreign Portfolio Investors		16	5,459,797	-	-	5,459,797	4.54	5,459,797	-	5,459,797	4.54	-	4.54			-	-	5,459,797
		PINEBRIDGE INVESTMENTS GF MAURITIUS LIMITED	AAHCA7302F		3,301,512	-	-	3,301,512	2.75	3,301,512	-	3,301,512	2.75	-	2.75			-	-	3,301,512
	(f)	Financial Institutions/Banks		5	52,540	-	-	52,540	0.04	52,540	-	52,540	0.04	-	0.04		-	-	-	47,540
	(g)	Insurance Companies		1	706,000	-	-	706,000	0.59	706,000	-	706,000	0.59	-	0.59			-	-	706,000
	(h)	Providend Fund/Pensions Funds		-	-	-	-	-	-	=	-	-	-	-	-			-	-	=
	(i)	Any Other Specify		-	9	-	-	-	÷	-	-	e	-	-	-			-	-	-
		Sub Total (B)(1)		33	19,225,803	-	-	19,225,803	16.00	19,225,803	,	19,225,803	16.00	-	16.00		-	-	,	19,220,803
2		Central Government/State Government/President of India		-	-	-	-	-	-	-	-	-	-	-	-			-	-	-
		Sub Total (B)(2)		-	-	-	-	-	-	-	-	-	-	-	-			-	-	-
3		Non-Institutions																-	-	
	(a)	1. Individual Shareholders holding Nominal Share Capital Up to 2 Lacs		14,296	14,878,115	-	-	14,878,115	12.38	14,878,115	-	14,878,115	12.38	-	12.38		-	-	-	13,576,880
		2. Individual Shareholders holding Nominal Share Capital Above 2 Lacs		1	242,425	-	-	242,425	0.20	242,425	-	242,425	0.20	-	0.20		= =	-	-	242,425
	(b)	NBFCs Registered with RBI		1	30,000	-	-	30,000	0.02	30,000	-	30,000	0.02	-	0.02		-	-	-	30,000
	(c)	Employee Trusts		-	-	-	-	-	-	=	-	-	-	-	-			-	-	=
	(d)	Overseas Depositories (Holding DRs) (Balancing Figure)		-	-	-	-	-	-	-	-	-	-	-	-		-	-	-	-
	(e)	Any other (Specity)																-		
	(e1)	Bodies Corporate		222	1,887,419	-	-	1,887,419	1.57	1,887,419	-	1,887,419	1.57	-	1.57			-	-	1,871,179
	(e2)	Non Resident Indians		484	525,982	-	-	525,982	0.44	525,982	-	525,982	0.44	-	0.44			-	-	525,408
	(e3)	Resident Indian Huf		380	448,503	-	-	448,503	0.37	448,503	-	448,503	0.37	-	0.37		-	-	-	448,503
	(e4)	Trusts		-	-	-	-	-	-	-	-	-	-	-	-		-	-		-
	(e5)	Clearing Members/House		50	129,467	-	-	129,467	0.11	129,467	-	129,467	0.11	-	0.11		-	-	-	129,467
		Orient Refractories Limited-Unclaimed Shares		1	1,000	-	-	1,000	-	1,000	-	1,000	-	-	-		-	-	-	1,000
		Others IEPF		-	2,892,715	-	-	2,892,715	2.41	2,892,715	-	2,892,715	2.41	-	2.41				-	2,892,715
	(e8)	IEPF INVESTOR EDUCATION AND PROTECTION FUND AUTHORITY MINISTRY OF CORPORATE AFFAIRS	EXEMPTCATG	1	2,892,715 2,892,715	-	-	2,892,715 2,892,715	2.41	2,892,715	-	2,892,715 2,892,715	2.41	-	2.41			-	-	2,892,715
$\dashv$		Sub Total (B)(3)		15,436	21,035,626	-		21,035,626	17.51	21,035,626	-	21,035,626	17.51	-	17.51			-	-	19,717,577
		Total Public Shareholding (B)= (B)(1)+(B)(2) + B (3)		15,469	40,261,429	_	-	40,261,429	33.51	40,261,429		40,261,429	33.51		33.51		= =	-		38,938,380

#### **ORIENT REFRACTORIES LIMITED**

						Table IV - St	atement showing shareh	olding patteri	n of the No	n Pron	noter- No	on Public sha	reholder						
								Sharehol ding %			Rights held i ecurities	n each class of	No. of Shares			er of Locked in shares		Shares pledged or ise encumbered	Number of
	Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity	Partly paid-up equity shares	underlying	Total no. shares held	calculate d as per SCRR, 1957	No of	Voting Ri	ights		Underlying Outstanding convertible	Total shareholding , as a % assuming full conversion of					equity shares held in
			shareholder	shares held	held	Depository Receipts		As a % of (A+B+C2)	Class:Equity	Class Y	Total	Total as a % of Total Voting rights		convertible securities (as a percentage of diluted share capital)	No.	As a % of total Shares held	No. (Not applicable)	% of total shares held	dematerialized form (Not Applicable)
	(1)	(11)	(111)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)			(IX)		(x)	(XI)= (VII)+(X)		(XII)		(XIII)	(XIV)
1	Custodian/DR																		
(a)	Name of DR Holder (if Available)		-	-		-	-	-	-		-	-	-	-		-	,	-	-
2	Employees Benefit Trust (Under SEBI (Share Based Employee Benefit Regulation 2014)																		
(a)	Name of DR Holder (if Available)		-	-	-		-	-	-		-	-	-	-	-	-	-	-	-
	Total Non Promoter Non Public Shareholding (C)=(C)(1)+(C)(2)		-	-				-	-				-		,	-		-	-